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FUNCTIONAL PSYCHIATRY:

GETTING TO THE ROOT CAUSE OF DEPRESSION AND ANXIETY

Presented by Everest Goldstein, M.Ed, MSN, PMHNP-BC, IFMCP



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OBJECTIVES

1. Define functional psychiatry and its importance in clinical practice.

2. Identify possible root causes that drive depression and anxiety.

3. Assess and treat root causes of anxiety and depression.



WHAT IS FUNCTIONAL PSYCHIATRY?

- Functional medicine X psychiatry
 - Using a root cause approach to address mental health concerns
 - Treating the whole person
 - Mind-body approach
- Mental health disorders are multifactorial in nature
 - Resulting from a combination of genetic, environmental, and lifestyle factors
 - Requiring a comprehensive and personalized approach
- Goal:
 - Restore balance in the body's
 - Optimize mental and physical well-being





CONVENTIONAL VS. FUNCTIONAL PSYCHIATRY

CONVENTIONAL PSYCHIATRY



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FUNCTIONAL PSYCHIATRY













DEPRESSION AND ANXIETY



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Source: Institute for Functional Medicine

WHY DO WE CARE?

- All of our patients have a mental health component to their overall well-being
- Mental health concerns are often barriers to healing
 - They can also be mediators of disease
- Up to ½ of mood disorders contribute to future development of neurodegenerative disorders





Rodrigues R, Peterson RB, Perry G. Parallels between major depressive disorder and Alzheimer's disease: role of oxidative stress and genetic vulnerability. Cell Mol Neurobiol. 2014 Oct;34(7):925-49. doi:10.1007/s1057-014-0074-5.

WHY DO WE CARE?

COMORBIDITIES:

- Alzheimer's dz
- Parkinson's dz
- Diabetes
- Metabolic syndrome
- Obesity
- Asthma
- Allergies
- Osteoporosis

- Traumatic brain injury
- Endocrine disorders
- Coronary artery disease
- HIV
- Cancer
- Autoimmune disease

The Effects of Anxiety and Depression on the Body

Brain and Nerves - Headaches, lack of energy, difficulty sleeping, loss of libido, trouble concentrating, inability to be still, chronic pain and inflamation Heart - Faster heartbeat or palpitations, rise in blood pressure, increased risk of high cholesterol, heart disease/attack Stomach - Nausea, stomach ache, heartburn, weight gain, increased/decreased appetite Intestines - Diarrhea, constipation, IBS, other digestive problems. Pancreas - Diabetes Reproductive Organs - Irregular or painful periods, impotence, low sperm production, reduced sexual desire

> Skin problems, muscle aches, low bone density, weakened immune system



WHY DO WE CARE?

STAR*D Trial (Sequenced Treatment Alternatives to Relieve Depression)

- 50% of responders experienced treatment relapse within a year
- 25% experienced a long-term rate of remission
 - Full recovery rates are even lower





STAR*D Study



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References: Why Do We Care?

Andrade L, et al. The epidemiology of major depressive episodes: results from the International Consortium of Psychiatric Epidemiology (ICPE) Surveys. Int J Methods Psychiatr Res. 2003;12(1):3-21. Erratum in: Int J Methods Psychiatr Res. 2003;12(3):165.

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Shelton RC, Osuntokun O, Heinloth AN, Corya SA. Therapeutic options for treatment-resistant depression. CNS Drugs. 2010 Feb;24(2):131-61. doi: 10.2165/11530280-00000000-00000.



POSSIBLE ROOT CAUSES

- Gut imbalances
 Exercise / sleep
- Inflammation
 Thyroid / adrenal dysfunction
- Nutrient deficiencies

• Spiritual, social, emotional factors

• Diet



ROOT CAUSE: GUT IMBALANCES



GUT HEALTH AND MENTAL HEALTH

- There is a significant correlation between gut health and mental health
- Patients with depression are more likely to experience:
 - Increased intestinal permeability (AKA "leaky gut")
 - Dysbiosis (altered microbiome)
 - Increased LPS antibodies
 - IBS (irritable bowel syndrome)





Yong SJ, Tong T, Chew J, Lim WL. Antidepressive Mechanisms of Probiotics and Their Therapeutic Potential. Front Neurosci. 2020 Jan 14;13:1361. doi: 10.3389/fnins.2019.01361. PMID: 32009871; PMCID: PMC6971226.

ANTIDEPRESSANTS AND GUT HEALTH

- 95% of the body's serotonin receptors are in the gut
 - SSRI GI side effects..?!
- Antidepressants have antimicrobial effects on the gut
- Long-term antidepressant use can change the gut microbiome



IT IS CRUCIAL TO SUPPORT THE GUT IF A CLIENT IS ON OR HAS BEEN ON AN ANTIDEPRESSANT!



Appleton J. The Gut-Brain Axis: Influence of Microbiota on Mood and Mental Health. Integr Med (Encinitas). 2018 Aug;17(4):28-32. PMID: 31043907; PMCID: PMC6469458.

PSYCHOBIOTICS

Psychobiotics = probiotics (live organisms) that improve mental health

- These organisms can help produce GABA, serotonin, and other neurotransmitters that improve anxiety and depression
- They can help stimulate the vagus nerve, calm the neuroendocrine system, and regulate the HPA axis
- They improve depression and anxiety
- They reduce overall cortisol and can be protective during stressful events
- They reduce inflammation
- They reduce chronic fatigue





References: Psychobiotics

Dinan TG, Stanton C, Cryan JF. Psychobiotics: a novel class of psychotropic. *Biol Psychiatry*. 2013 Nov 15;74(10):720-6. doi: 10.1016/j.biopsych.2013.05.001.

Kato-Kataoka A. Fermented milk containing Lactobacillus casei strain Shirota preserves the diversity of the gut microbiota and relieves abdominal dysfunction in healthy medical students exposed to academic stress. *Appl. Environ. Microbiol.* 2016;82:3649–3658

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Sashihara T. Effects of Lactobacillus gasseri OLL2809 and α-lactalbumin on university-student athletes: a randomized, double-blind, placebo-controlled clinical trial. *Appl. Physiol. Nutr. Metab.* 2013;38:1228–1235



GUT HEALTH AND MENTAL HEALTH

WHEN IN DOUBT, START IN THE GUT



TREATMENT

5-R PROTOCOL

TO RESTORE YOUR GUT HEALTH

1 Rer

5

Remove: Stressors & Gut Irritants

Replace: Nutrients, Bile & Stomach Acid

Reinoculate: With Pre- & Pro-biotics

Repair: Intestinal Wall

Rebalance: Your Lifestyle





https://www.23nutritiontherapy.com/restore-gut-health-using-the-5-r-protocol/

ROOT CAUSE: INFLAMMATION



INFLAMMATION AND MENTAL HEALTH

- There is a bi-directional relationship between inflammation and anxiety/depression
 - Inflammation can increase anxiety and depression
 - Anxiety and depression can increase inflammation
 - This occurs because of the release of pro-inflammatory cytokines
- Anti-inflammatory treatments have been shown to reduce symptoms of depression





ANTI-INFLAMMATORY BOTANICALS

- Turmeric
- Ginger
- Boswellia
- Bromelain

- Devil's Claw
- Quercetin
- Cayenne
- EGCG







References: Inflammation

Köhler O, et al. Effect of anti-inflammatory treatment on depression, depressive symptoms, and adverse effects: a systematic review and meta-analysis of randomized clinical trials. JAMA sychiatry. 2014 Dec 1;71(12):1381-91. doi: 10.1001/jamapsychiatry.2014.1611.

Maes M, et al. The effects of psychological stress on humans: increased production of pro-inflammatory cytokines and a Th1-like response in stress-induced anxiety. Cytokine. 1998 Apr;10(4):313-8.

Michopoulos V, Powers A, Gillespie CF, Ressler KJ, Jovanovic T. Inflammation in Fear- and Anxiety-Based Disorders: PTSD, GAD, and Beyond. *Neuropsychopharmacology*. 2017;42(1):254-270. doi:10.1038/npp.2016.146



References: Anti-Inflammatory Botanicals

Askari, G., Aghajani, M., Salehi, M., Najafgholizadeh, A., Keshavarzpour, Z., Fadel, A., ... & Pourmasoumi, M. (2020). The effects of ginger supplementation on biomarkers of inflammation and oxidative stress in adults: A systematic review and meta-analysis of randomized controlled trials. *Journal of Herbal Medicine*, *22*, 100364.

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Huang TH, Tran VH, Duke RK, et. al. Harpagoside suppresses lipopolysaccharide-induced iNOS and COX-2 expression through inhibition of NF-kappa B activation. Ethnopharmacol. 2006 Mar 8;104(1-2):149-55.



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Mokra, D., Joskova, M., & Mokry, J. (2022). Therapeutic effects of green tea polyphenol (–)-Epigallocatechin-3-Gallate (EGCG) in relation to molecular pathways controlling inflammation, oxidative stress, and apoptosis. *International Journal of Molecular Sciences*, 24(1), 340.

Shimizu, K., Funamoto, M., Sunagawa, Y., Shimizu, S., Katanasaka, Y., Miyazaki, Y., ... & Morimoto, T. (2019). Anti-inflammatory action of curcumin and its use in the treatment of lifestyle-related diseases. *European Cardiology Review*, *14*(2), 117.

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ROOT CAUSE: NUTRIENT DEFICIENCIES



NUTRIENTS INVOLVED IN DEPRESSION AND ANXIETY

- Vitamin D
- B12
- Zinc
- Copper
- Fatty acids
- Many more...





VITAMIN D

- "Normal" reference range: 30-100
- Optimal reference range: 60-100
- Low vitamin D levels not only correlates to higher depression rates but also to suicidality
- Supplementing with vitamin D has consistently been shown to improve depressive symptoms



Spedding S. (2014). Vitamin D and depression: a systematic review and meta-analysis comparing studies with and without biological flaws. Nutrients, 6(4), 1501–1518. https://doi.org/10.3390/nu6041501. Licensed under CC BY 3.0.

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B12

Low B12 ->

• 70% increased risk of depression

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- Panic attacks
- Visual hallucinations
- Fatigue
- OCD
- Bipolar disorder
- PPD
- Phobias

- Methylated B12 (methylcobalamin) is often better absorbed than other forms
- B12 is critical in the methylation pathway



Oiliclinio, IRCCS, et al: European Review for Medical and Pharm Sciences: 2022;26:2443-2459 Frankenburg FR. The role of one-carbon metabolism in schizophrenia and depression. Harv Rev Psychiatry. 2007;15:146-160.

ZINC AND COPPER

- Zinc and copper have an inverse relationship
- Zinc modulates the glutatmine / GABA balance
 - Zinc works as an antagonist of the glutamatergic pathway, allowing the GABAergic pathway to take over
- Zinc increases BDNF
- Zinc influences the serotonin receptor -> affects depression / anxiety
- Caution: zinc toxicity; copper depletion

Zn Localization in the Brain



Frederickson CJ. Neurobiology of zinc and zinc-containing neurons. Int Rev Neurobiol. 1989;31:145-238.



ZINC AND COPPER

- Patients with depression have been found to have lower levels of zinc and higher levels of copper
- It is estimated that 1/3 of people are deficient in zinc
- Supplementing with zinc can be an effective augmenting strategy in the treatment of depression and anxiety

Low zinc, high copper sx:

- Depression
- Anxiety
- Irritability / anger
- Hormonal issues (ex: PMS)

High zinc, low copper sx:

- Decreased BDNF
- Impaired memory
- Cognitive issues

References: Zinc and Copper

Barrondo S, Sallés J. Allosteric modulation of 5-HT(1A) receptors by zinc: Binding studies. Neuropharmacology. 2009 Feb;56(2):455-62. doi:10.1016/j.neuropharm.2008.09.018

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Siwek M, et al. Zinc supplementation augments efficacy of imipramine in treatment resistant patients: a double blind, placebo-controlled study. J Affect Disord. 2009 Nov;118(1-3):187-95. doi:10.1016/j.jad.2009.02.014.

Yang Y, et al. High dose zinc supplementation induces hippocampal zinc deficiency and memory impairment with inhibition of BDNF signaling. PLoS One. 2013;8(1):e55384. doi: 10.1371/journal.pone.0055384.



OMEGA-3S

- Therapeutic dose of EPA: 1-2 grams/day
- EPA in particular has been shown to improve depression when used as an adjunctive treatment
- Omega-3s have been shown to have anxiolytic effects
 - This effect was increased when using PUFA doses of >2 grams/day



Martins JG. EPA but not DHA appears to be responsible for the efficacy of omega-3 long chain polyunsaturated fatty acid supplementation in depression: evidence from a meta-analysis of randomized controlled trials. J Am Coll Nutr. 2009 Oct;28(5):525-42.

Su KP, Tseng PT, Lin PY, Okubo R, Chen TY, Chen YW, Matsuoka YJ. Association of Use of Omega-3 Polyunsaturated Fatty Acids With Changes in Severity of Anxiety Symptoms: A Systematic Review and Meta-analysis. JAMA Netw Open. 2018 Sep 7;1(5):e182327. doi: 10.1001/jamanetworkopen.2018.2327. PMID: 30646157; PMCID: PMC6324500.



ADDITIONAL SUPPLEMENTS

ANXIETY SUPPORT

- Phosphatidylserine
- Adaptogens (ex: ashwaganda)
- GABA
- L-theanine
- Magnesium glycinate

DEPRESSION SUPPORT:

- 5-HTP
- Saffron
- Methylfolate
- SAMe
- Methylated B vitamins


References: Supplements

HENDRIX, C. (2020). Stress, Anxiety and Cognitive Function. Stress, 3.

Hinton, T., & Johnston, G. A. (2020). GABA-enriched teas as neuro-nutraceuticals. *Neurochemistry international*, *141*, 104895.

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Lande, R. G. (2020). Nutraceutical augmentation strategies for depression: A narrative review. *Journal of Osteopathic Medicine*, *120*(2), 100-106.

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Sakamoto, F. L., Ribeiro, R. M. P., Bueno, A. A., & Santos, H. O. (2019). Psychotropic effects of L-theanine and its clinical properties: From the management of anxiety and stress to a potential use in schizophrenia. *Pharmacological research*, *147*, 104395.

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Tóth, B., Hegyi, P., Lantos, T., Szakacs, Z., Kerémi, B., Varga, G., ... & Csupor, D. (2019). The efficacy of saffron in the treatment of mild to moderate depression: a meta-analysis. *Planta medica*, *85*(01), 24-31.



ROOT CAUSE: DIET



DIET AND MENTAL HEALTH

- Studies have repeatedly shown an association between what we eat and our mental health
- Macronutrients have been shown to greatly affect both depression and anxiety by:
 - Controlling glucose supply to the brain
 - Influencing our microbiome
 - Providing anti-inflammatory benefits
 - Impacting our HPA axis





Sarris J, Logan A, Akbaraly T et al. Nutritional medicine as mainstream in psychiatry. *The Lancet Psychiatry*. 2015;2(3):271-274. doi:10.1016/s2215-3366(14)00051-0.

SUGAR AND MENTAL HEALTH

Processed sugar is full of downstream effects that can increase depression and anxiety

- Increases inflammation s/t pro-inflammatory cytokines
- Decreases BDNF
- Decreases neuronal plasticity
- Increases cortisol



- Decreases availability of B vitamins
- Increases brain fog
- Increases insomnia



BLOOD SUGAR AND MENTAL HEALTH

- Blood sugar spikes can independently increase depression and anxiety
- Ways to balance blood sugar:
 - Walk for 10 minutes post-meals
 - Drink bone broth
 - Reduce sodas / sugary drinks
 - Reduce processed carbs
 - Eat veggies then protein/fat then carbs
 - Use a CGM

- Consider supplements:
 - Berberine
 - Cinnamon
 - Magnesium





MEDITERRANEAN DIET

THE MEDITERRANEAN DIET IMPROVES OVERALL DEPRESSION AND ANXIETY



- Mediterranean diet:
 - Reduces inflammation
 - Improves methylation
 - Improves omega 6:3 ratio
 - Decreases insulin resistance and helps balance blood sugar
 - Improves gut microbiome diversity



References: Diet

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Westover AN, Marangell LB. A cross-national relationship between sugar consumption and major depression? Depression and Anxiety. 2002;16:118–120.







EXERCISE BENEFITS

- Decreases cortisol (stress hormone)
- Increases serotonin
- Increases BDNF
- Increases endorphins

- Increases endocannabinoids
- Decreases inflammation
- Improves sleep





EXERCISE

- All types of exercise improve anxiety / depression
 - Both aerobic/cardio and resistance/weight training have been found to be beneficial
 - Moderate-vigorous exercise is correlated with reduced distress
- Exercise alone has been shown to be beneficial in treating mild-moderate depression
- Exercise can prevent future anxiety and depression
- Exercise may protect against genetic SNPs that may make one more likely to experience anxiety and depression
 - Particularly promising research in regards to the COMT gene
- Exercise can help improve sleep, which directly impacts mood and anxiety



References: Exercise

Adapted with permission of Kowsar, from Depression and Exercise: A Clinical Review and Management Guideline, Ranjbar, E., Memari, A. H., Hafizi, S., Shayestehfar, M., Mirfazeli, F. S., & Eshghi, M. A., vol. 6, copyright 2015; permission conveyed through Copyright Clearance Center, Inc.

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Erickson KI, et al. The brain-derived neurotrophic factor Val66Met polymorphism moderates an effect of physical activity on working memory performance. Psychol Sci. 2013 Sep;24(9):1770-9. doi:10.1177/0956797613480367.



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McCurdy AP, Boulé NG, Sivak A, Davenport MH. Effects of exercise on mild-to-moderate depressive symptoms in the postpartum period: a meta-analysis. Obstet Gynecol. 2017;129(6):1087-1097. doi:10.1097/AOG.0000000000002053.

Wegner M, Helmich I, Machado S, Nardi AE, Arias-Carrion O, Budde H. Effects of exercise on anxiety and depression disorders: review of meta-analyses and neurobiological mechanisms. CNS Neurol Disord Drug Targets. 2014;13(6):1002-14.



CIRCADIAN RHYTHM AND SLEEP



Rhvth

Circadian

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Circadian rhythm is part of the body's **internal clock**; it follows a 24-hour schedule and regulates the sleep-wake cycle.

During the 24-hour cycle, our hormone levels fluctuate in response to light, particularly **melatonin** and **cortisol**.





Regions of the brain that regulate the circadian rhythm include **pineal** gland, hypothalamus and pituitary gland.

Pineal gland Hypothalamus Pituitary gland

> Source: Institute for Functional Medicine Adapted from "Circadian Rhythm", by BioRender.com (2022). Retrieved from https://app.biorender.com/biorender-templates

SLEEP HYGIENE

Tips to improve sleep hygiene:

- Get morning sunlight between 7-11am
- Consider light therapy
- Reduce blue light exposure in the evening
- Wear blue light blocking glasses
- Reduce napping during the day
- Avoid caffeine after 12pm
- Eat dinner at least 2 hours before bedtime
- Maintain a stable blood sugar

- Consider meditation or yoga nidra
- Limit time in the bedroom
- Consider supplementation:
 - Melatonin
 - L-theanine
 - Phosphatidylserine
 - GABA
 - Adaptogens (ex: ashwaganda)



ROOT CAUSE: THYROID AND ADRENAL DYSFUNCTION



THYROID DYSFUNCTION AND MENDAL HEALTH

THYROID FUNCTION DIRECTLY IMPACTS, MOOD, COGNITION, AND ANXIETY



Bauer M, Goetz T, Glenn T, Whybrow PC. The thyroid-brain interaction in thyroid disorders and mood disorders. J Neuroendocrinol. 2008 Oct;20(10):1101-14. doi: 10.1111/j.1365-2826.2008.01774.x





Source: Everest Functional Psychiatry and Wellness

CORTISOL AND MENTAL HEALTH

- **HPA axis** = hypothalamic-pituitary-adrenocortical system
- The HPA axis is often dysregulated in depression and anxiety
 - Activation of the HPA axis -> increased cortisol secretion -> sympathetic response
 - Chronic stress -> chronic state of fight-or-flight
- Depression and anxiety are often associated with hypercortisolism
 - HPA axis hyperactivity

6

• Conventional treatments work to blunt the HPA axis

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axis

Created with BioRender.com

CORTISOL AND ANTIDEPRESSANTS

ANTIDEPRESSANTS LOWER CORTISOL

- 2012 double-blind, placebo-controlled, cross-over study:
 - Citalopram 20mg vs. placebo
 - After 4 days of treatment or placebo, participants were give 30mg of PO cortisol
 - Treatment group experienced less impaired working memory disturbance and reduced EEG changes
- 2011 placebo-controlled study:
 - SSRI's significantly reduced cortisol
 - This cortisol reduction led to improvements in anxiety symptoms



BUT...

What happens when our adrenals give out ???

Salivary Cortisol in depressed patients				
	8 AM	11 AM	4 PM	11 PM
Normal	13-24n	4-10nM	3-8nM	1-4nM
Melancholic Agitated Depression	High	High	High	Marked increase
Atypical Depression and CFIDS	Low	Low	Low normal	Normal

Source: Institute for Functional Medicine



References: Cortisol

Bschor T, Ising M, Erbe S, et al. Impact of citalopram on the HPA system. A study of the combined DEX/CRH test in 30 unipolar depressed patients. *Journal of Psychiatric Research*. 2012;46(1):111-117.

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References: Cortisol

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ROOT CAUSE: SPIRITUAL, SOCIAL, EMOTIONAL FACTORS



SPIRITUALITY

Studies on spiritual belief show:

- Decreased depression rate by almost 30%
- Decreased suicide rate by almost 85%
- Decreased substance use disorder

- Decreased all-cause mortality by up to 35%
 - 7 additional years of life
- Decreased divorce rate by up to 50%
- Increased happiness scores

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IMPORTANCE OF SUPPORT SYSTEMS

- A strong social support system reduces risk for depression
- Social connections improve vagal tone balance
- Social isolation can negatively influence gene expression and increase inflammation



Source: Venice Family Clinic



CHILDHOOD EXPERIENCES ON DEPRESSION / ANXIETY

• ACEs = adverse childhood experiences

- Socioeconomic disadvantage
- Maltreatment
- Social isolation
- 32 year prospective longitudinal study:
 - ACEs -> increased risk for metabolic disease, inflammation, and depression later in life
 - Highest risk: trauma, neglect, abuse



Source: Institute for Functional Medicine



References: Support and ACEs

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References: Support and ACEs

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MINDFULNESS

2018 randomized-controlled trial:

- Participants with generalized anxiety disorder were randomized to either a mindfulness intervention or control
- The mindfulness group had significantly greater reductions in stress response after performing a social stress test





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BREATHWORK



Source: Everest Functional Psychiatry and Wellness



VAGUS NERVE STIMULATION



Source: Everest Functional Psychiatry and Wellness



MINDFULNESS-BASED PRACTICES

- Meditation
- Breathwork
- Guided Imagery
- Therapy
- Nature-bathing
- Journaling

- Prayer
- Biofeedback
- Hot/cold exposure
- Tapping
- Acupuncture
- Massage



Source: Wellmark



TAI CHI

- Tai chi has been shown to improve emotional regulation
- Tai chi helps reduce and prevent further symptoms of depression



Practicing Tai Chi 30 minutes to 2 hours, 1 to 3 times per week, significantly **increases psychological well-being**, reduces stress, anxiety, depression, and low mood

Source: Mindhealth360

Kong J, Wilson G, Park J, Pereira K, Walpole C, Yeung A. Treating depression with tai chi: state of the art and future perspectives. *Front Psychiatry*. 2019;10:237.



NATURE

Physiological and Psychological Effects of Green and Blue Spaces



46TH ANNUAL EDUCATIONAL CONFERENCE
References: Nature

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KEY TAKEAWAYS



KEY TAKEAWAYS:

- Anxiety and depression:
 - Are multifactorial in nature
 - Can be influenced by a range of factors that are not mutually exclusive
 - Need to be considered on an individual and case-by-case basis
 - Impact and are impacted by overall physical health





Q&A



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